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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of : **Mail Stop: ISSUE FEE**
Shin ASADA : **Confirmation No. 8142**
Serial No. 09/679,621 : [Group Art Unit 2616
Filed October 5, 2000 : Examiner Alicia M. Duggins]

RECORDING APPARATUS
THAT RECORDS A BROADCAST
PROGRAM AND ID INFORMATION FOR A
BROADCAST STATION ON A RECORDING
MEDIUM, AND A PLAYBACK APPARATUS
FOR RECORDED PROGRAMS

RESPONSE TO ALLOWANCE

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

THE COMMISSIONER IS AUTHORIZED
TO CHARGE ANY DEFICIENCY IN THE
FEES FOR THIS PAPER TO DEPOSIT
ACCOUNT NO. 23-0975

Sir:

Applicant hereby requests that the Information Disclosure Statement filed with the Patent Office on July 14, 2004 be considered by the Examiner. Applicant also requests that the Examiner return an initialed copy of the form PTO-1449 which was filed as part of the Information Disclosure Statement on July 14, 2004. Enclosed herewith are copies of the Information Disclosure Statement, form PTO-1449, references, and date-stamped postcard receipt as evidence that the Information Disclosure Statement was submitted.

Respectfully submitted,

Shin ASADA

By David M. Ovedovitz
David M. Ovedovitz
Registration No. 45,336
Attorney for Applicant

DMO/jmj
Washington, D.C. 20006-1021
Telephone (202) 721-8200
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October 26, 2004

ATTY DOCKET #: 2000_1393A

Due Date: None

Confirmation No. 8142

OUR REF: 2000_1393A/DMO/00703

Applicant: Shin ASADA

Serial No.: 09/679,621

Filing Date: October 5, 2000

Title: RECORDING APPARATUS THAT RECORDS A BROADCAST PROGRAM
AND ID INFORMATION FOR A BROADCAST STATION ON A RECORDING
MEDIUM, AND A PLAYBACK APPARATUS FOR RECORDED PROGRAMS

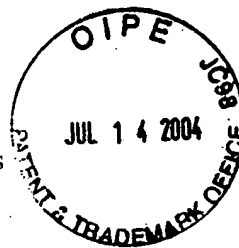
Receipt of the following papers is acknowledged:

Patent Office Fee Transmittal Form (in duplicate)

Information Disclosure Statement

European Search Report, PTO-1449 and 5 references

Check in the amount \$180.00



COPY

Date: July 14, 2004

Attorney: DMO/jmj

[Check No. 62890 **]**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Shin ASADA

Serial No. 09/679,621

Filed October 5, 2000



: Confirmation No. 8142

: Atty Docket No. 2000_1393A

: Group Art Unit 2613

: Examiner Alicia M. Duggins

RECORDING APPARATUS THAT RECORDS
A BROADCAST PROGRAM AND ID
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AND A PLAYBACK APPARATUS
FOR RECORDED PROGRAMS

PATENT OFFICE FEE TRANSMITTAL FORM

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

COPY

Sir:

Attached hereto is a check in the amount of \$180.00 to cover Patent Office fees relating to filing the following attached papers:

Information Disclosure Statement \$180.00

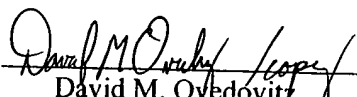
A duplicate copy of this paper is being submitted for use in the Accounting Division, Office of Finance.

The Commissioner is authorized to charge any deficiency or to credit any overpayment associated with this communication to Deposit Account No. 23-0975, with the EXCEPTION of deficiencies in fees for multiple dependent claims in new applications.

Respectfully submitted,

Shin ASADA

By


David M. Ovedovitz
Registration No. 45,336
Attorney for Applicant

DMO/jmj

WENDEROTH, LIND & PONACK, L.L.P.

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Washington, D.C. 20006-1021

Telephone (202) 721-8200

July 14, 2004

[Check No. _____]

2000_1393A



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of : **Confirmation No. 8142**
Shin ASADA : Docket No. 2000_1393A
Serial No. 09/679,621 : Group Art Unit 2613
Filed October 5, 2000 : Examiner Alicia M. Duggins

RECORDING APPARATUS THAT RECORDS
A BROADCAST PROGRAM AND ID
INFORMATION FOR A BROADCAST
STATION ON A RECORDING MEDIUM,
AND A PLAYBACK APPARATUS
FOR RECORDED PROGRAMS

INFORMATION DISCLOSURE STATEMENT

**NOTE: THIS IS NOT A RESPONSE TO THE FINAL OFFICE
ACTION MAILED MAY 4, 2004**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

COPY

Sir:

Pursuant to the provisions of 37 CFR 1.56, 1.97 and 1.98, Applicant requests consideration of the references listed on attached form PTO-1449 and any additional information identified below in paragraph 3. A legible copy of each reference listed on the Form PTO-1449 is enclosed, except a copy is not provided for:

- ☐ each U.S. Patent and U.S. Patent application publication (*for U.S. National applications filed after June 30, 2003 and International applications that have entered U.S. National Stage after June 30, 2003*),
- ☐ each reference previously cited in the international application PCT/_____; and/or
- ☐ each reference previously cited in prior parent application Serial No. _____.

1a. ☐ This Information Disclosure Statement is submitted:

within three months of the filing date (or of entry into the National Stage) of the above-entitled application, **or**

before the mailing of a first Office Action on the merits or the mailing of a first Office Action after the filing of an RCE,

and thus no certification and/or fee is required.

1b. ☐ This Information Disclosure Statement is submitted

after the events of above paragraph 1a and prior to the mailing date of a final Office Action or a Notice of Allowance or an action which otherwise closes prosecution in the application, and thus:

(1) ☐ the certification of paragraph 2 below is provided, **or**

(2) ☐ the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

1c. ☒ This Information Disclosure Statement is submitted:

after the mailing date of a final Office Action or Notice of Allowance or action which otherwise closes prosecution in the application, and prior to payment of the issue fee, and thus:

the certification of paragraph 2 below is provided, and

the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

2. It is hereby certified

a. ☒ that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement, or

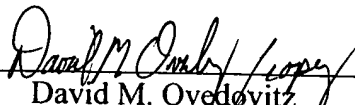
b. ☐ that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the Statement.

3. ☐ Consideration of the following list of additional information (including any copending or abandoned U.S. application, prior uses and/or sales, etc.) is requested.
4. For each non-English language reference listed on the attached form PTO-1449, reference is made to:
- a. ☐ a full or partial English language translation submitted herewith,
 - b. ☐ a foreign patent office search report (in the English language) submitted herewith,
 - c. ☐ the concise explanation contained in the specification of the present application at page,
 - d. ☐ the concise explanation set forth in the attached English language abstract,
 - e. ☐ the concise explanation set forth below or on a separate sheet attached to the reference:
5. ☒ A foreign patent office search report citing one or more of the references is enclosed.
6. ☒ Statement Under 37 CFR 1.704(d)

Each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign Patent Office in a counterpart application, and this communication was not received by any individual designated in §1.56(c) more than thirty days prior to the filing of the Information Disclosure statement.

Respectfully submitted,

Shin ASADA

By 
David M. Ovedovitz
Registration No. 45,336
Attorney for Applicant

DMO/jmj
Washington, D.C. 20006-1021
Telephone (202) 721-8200
Facsimile (202) 721-8250
July 14, 2004

FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICELIST OF REFERENCES CITED BY APPLICANT(S)
(Use several sheets if necessary)

Date Submitted to PTO: July 14, 2004

ATTY DOCKET NO.
2000_1393ASERIAL NO.
09/679,621APPLICANT
Shin ASADAFILING DATE
October 5, 2000GROUP
2613

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	5,541,738	7/1996	Mankovitz			
	AB	5,661,526	8/1997	Hamamoto et al.			
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO	
	AJ	0 763 938	3/1997	EP				
	AK	0 773 682	5/1997	EP				
	AL	0 725 542	8/1996	EP				
	AM							
	AN							

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

	AO	
	AP	
	AQ	

COPY

EXAMINER

DATE CONSIDERED

*XAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of form with next communication to applicant.